



BP 1814

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application of Artavanis-Tsakonas et al. : Group Art Unit: 1814 #13

Serial No.: 08/083,590 : Examiner: Walsh, S. #9-12

Filed: June 25, 1993 :

For: THERAPEUTIC AND DIAGNOSTIC METHODS AND COMPOSITIONS BASED ON NOTCH PROTEINS AND NUCLEIC ACIDS : Pennie & Edmonds

Attorney Docket No.: 7236-015 : 1155 Avenue of the Americas
New York, New York 10036

SECOND SUPPLEMENTAL INFORMATION
DISCLOSURE STATEMENT UNDER 37 C.F.R. § 1.56

Honorable Commissioner of Patents and Trademarks
Washington, D.C. 20231

SEP 6 1994

S I R:

In accordance with the continuing duty of disclosure imposed by 37 C.F.R. § 1.56 to inform the Patent Office of all references coming to the attention of Applicants or attorneys or agents for Applicants which are or may be material to the patentability of any claim of the subject application, Attorneys for Applicants hereby direct the Examiner's attention to the references listed on the attached revised form PTO 1449, copies of which are enclosed.

Identification of the listed references is not to be construed an admission of Applicants or Attorneys for Applicants that such references are available as "prior art"

EXPRESS MAIL CERTIFICATION

"Express Mail" label No. TB 389 248 959 US Date of Deposit August 15, 1994

I hereby certify that this paper or fee is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 C.F.R. 1.10 on the date indicated above and is addressed to the Commissioner of Patents and Trademarks, Washington, D.C. 20231.

ISMAEL MALDONADO

Ismael Maldonado

PENY-268262.1

against the subject application. Consequently, Applicants respectfully decline to use form PTO-1449, since this form identifies all of the references cited therein as "Prior Art." As an alternative, Applicants submit herewith a "revised form PTO 1449" entitled "List of References Cited" instead of "List of Prior Art Cited."

Applicants respectfully request that the Examiner review the foregoing references and that the references be made of record in the file history of the application.

Pursuant to 37 C.F.R. § 1.97(b), since this information disclosure statement is being filed before the mailing date of a first Office Action on the merits, no fee is due in connection herewith. However, should the Patent Office determine otherwise, please charge the required fee to Pennie & Edmonds deposit account no. 16-1150; a duplicate of this sheet is enclosed.

Respectfully submitted,

Date: August 15, 1994

S. Leslie Misrock 18,872
S. Leslie Misrock (Reg. No.)

By: Adriane M. Antler 32,605
Adriane M. Antler (Reg. No.)

PENNIE & EDMONDS
1155 Avenue of the Americas
New York, New York 10036-2711

(212) 790-9090